

#6

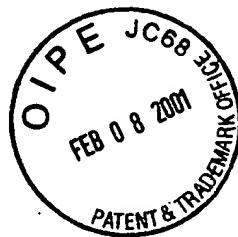
THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Takahiro NAGAI et al.

Serial No. 09/560,563

Filed April 28, 2000



Docket No. 2000-0557A

Group Art Unit 2715

OPTICAL DISK, OPTICAL DISK RECORDING
AND REPRODUCING APPARATUS, METHOD
FOR RECORDING, REPRODUCING AND
DELETING DATA ON OPTICAL DISK, AND
INFORMATION PROCESSING SYSTEM

RECEIVED
FEB 09 2001
Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, applicants request consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. .

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing date of the first Office Action on the merits,

and thus no certification and/or fee is required.

1b. This Information Disclosure Statement is submitted
after the events of above paragraph 1a and prior to the mailing date of a final Office
Action or a Notice of Allowance or an action which otherwise closes prosecution in the
application, and thus:
(1) the certification of paragraph 2 below is provided, or
(2) the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. This Information Disclosure Statement is submitted:
after the mailing date of a final Office Action or Notice of Allowance or action which
otherwise closes prosecution in the application, and prior to payment of the issue fee, and
thus:
the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

- a. that each item of information contained in this Information Disclosure Statement
was first cited in any communication from a foreign patent office in a counterpart
foreign application not more than three months prior to the filing of the
Statement, or
- b. that no item of information contained in the Information Disclosure Statement
was cited in a communication from a foreign patent office in a counterpart
foreign application and, to the knowledge of the person signing the certification
after making reasonable inquiry, was known to any individual designated in
§1.56(c) more than three months prior to the filing of the Statement.

3. Consideration of the following additional information (including any copending or
abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

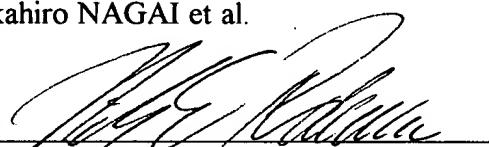
- a full or partial English language translation submitted herewith,
- a foreign patent office search report (in the English language) submitted herewith,
- the concise explanation contained in the specification of the present application at page,
- the concise explanation set forth in the attached English language abstract,
- the concise explanation set forth below or on a separate sheet attached to the reference:

5. A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Takahiro NAGAI et al.

By



Nils E. Pedersen

Registration No. 33,145

Attorney for Applicants

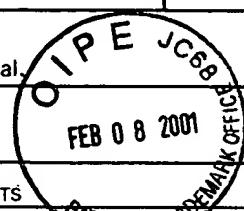
NEP/krl
Washington, D.C.
Telephone (202) 721-8200
February 8, 2001

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: February 8, 2001

ATTY DOCKET NO.
2000-0557ASERIAL NO.
09/560,563APPLICANT
Takahiro NAGAI et al.FILING DATE
April 28, 2000GROUP
2715

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,596,639	1/1997	Kikinis			
	AB	5,752,009	5/1998	Nakahara et al.			
	AC	5,745,568	4/1998	O'Connor et al.			
	AD	5,646,993	7/1997	Aizawa			
	AE	5,513,169	4/1996	Fite et al.			

RECEIVED

FEB 09 2001

Technology Center 2600

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AF	98/58368	12/1998	WO			
	AG	2332977	7/1999	GB			
	AH	0442566A1	8/1991	EP			
	AI	00/21087	4/2000	WO			
	AJ	0984346A1	3/2000	EP			
	AK	0802527A1	10/1997	EP			
	AL	0954173A1	11/1999	EP			
	AM	10-208386	8/1998	JP		Abstract	
	AN	2000-113586	4/2000	JP		Abstract	
	AO	9-171619	6/1997	JP		Abstract	

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	
AQ	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.